

What do the SEND reforms mean for children and young people with Statements?

This information is about how the reforms to the **SEND Code of Practice** affect children and young people who have **Statements of Special Educational Needs (SEN)**, and young people in further education and training who have special educational provision through a **Section 139A Learning Difficulty Assessment (LDA)**.

How has the law changed?

In September 2014 the Children and Families Act 2014 came into force. A new **SEND Code of Practice** covers children and young people with special educational needs and/or disabilities from birth to age 25. One big change is that **Education, Health and Care Plans (EHC plans)** will replace Statements of SEN and LDAs.

The **SEND Code of Practice** says:

The purpose of an EHC plan is to make special educational provision to meet the special educational needs of the child or young person, to secure the best possible outcomes for them across education, health and social care and, as they get older, prepare them for adulthood. (9.2)

Local authorities **must** transfer all children and young people who have a Statement to the new SEND system by 1 April 2018, unless the Statement is no longer necessary.

Every local authority should publish a **local transition plan**. This explains when and how Statements will be transferred to the new system, and gives information for young people in further education and training who receive support through an LDA. Information about the local transitional arrangements can be found at:

<http://search3.openobjects.com/kb5/rbwm/directory/site.page?id=CtwNcYyWFeQ>

You may also wish to look at the transition plan for RBWM:

https://search3.openobjects.com/mediamanager/rbwm/fsd/files/local_transfer_plan_for_transfer_of_statements_and_ldas_to_ehc_plans_version_1_published_september_2014.pdf

How does this affect those with Statements?

Until 1 April 2018, local authorities must continue to comply with Part IV of the **Education Act 1996** (the old law) if the child or young person has a Statement. The Statement continues and annual reviews will take place until one of the following happens:

- the local authority decides to cease the Statement;
- the Statement ceases because the young person leaves education;
- there is a **transfer review** and:
 - an EHC plan is agreed, or
 - the local authority decides an EHC plan is not required.

The **SEND Code of Practice** says:

During the transition period local authorities will transfer children and young people with statements onto the new system.... No-one should lose their statement and not have it replaced with an EHC plan simply because the system is changing.
(9.4)

Transition to EHC plans is staggered over four years. In each year, local authorities **must** transfer some groups of children and young people with Statements, and **should** give priority to other groups.

Between 1 September 2014 and 1 September 2015

Local authorities **must** transfer children and young people to EHC plans before they move from school (including school sixth forms) to post 16 education or an apprenticeship.

Local authorities **must** also transfer young people on request to EHC plans if they have a **Learning Difficulty Assessment (LDA)** and will continue in education after August 2016.

Local authorities should give priority to children when they move from:

- an early years settings to school;
- infant to junior school
- first to middle school
- primary to secondary school
- middle to upper school

- mainstream to special school (or vice versa)

Local authorities should also give priority to children and young people:

- in Year 6 but not included in the list above (including where the child stays at the same institution)
- in Year 9
- in Year 11
- leaving custody
- who move between one local authority and another

Between September 2015 and April 2018

In each year, local authorities **must** transfer children and young people to the new system:

- in Year 9
- before they move between
 - an early years settings to school;
 - infant to junior school
 - first to middle school
 - primary to secondary school
 - middle to upper school
 - mainstream to special school (or vice versa).

In 2015/16, local authorities **must** also transfer young people on request to EHC plans if they have an LDA and will continue in education after August 2016.

Between September 2016 and 31st March 2018

In each year, local authorities should give priority to transferring to the new system those children and young people:

- in Year 6 not included in the list above (including where the child stays at the same institution)
- in Year 11
- leaving custody
- who move between one local authority and another.

You can find out more about the transition arrangements for particular groups in the Department for Education guidance:

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/408965/SEND_reforms_transitional_advice_March_2015.pdf

You can also refer to the RBWM Transition Plan:

[https://search3.openobjects.com/mediamanager/rbwm/fsd/files/local_transfer_plan_for_transfer_of_statements_and_idas_to_ehc_plans_version_1_published_september_2014 .pdf](https://search3.openobjects.com/mediamanager/rbwm/fsd/files/local_transfer_plan_for_transfer_of_statements_and_idas_to_ehc_plans_version_1_published_september_2014.pdf)

What is a transfer review?

A **transfer review** replaces the **annual review** in the academic year that the child or young person transfers to the new SEND system. The review must be completed within 12 months of the date the Statement was issued or of the previous annual review.

A transfer review requires an **EHC Needs Assessment** to decide what needs, outcomes and provision should be included in the EHC plan. This should include education, health and social care needs. In many cases, the information in existing Statements will be used for the EHC Needs Assessment. In fact, the local authority **must not** ask for further advice if the advice it has already is sufficient, but you can disagree if you do not think it is. If this is the case, the authority has to get new advice. Before existing advice is used, the person who provided the advice, the local authority, the child's parents or the young person must all be satisfied that the advice is "sufficient for the purposes of an EHC Needs Assessment".

You, your child or the young person **must** be invited to a meeting as part of the transfer review, and be given two weeks' notice of that meeting.

A transfer review ends when the local authority sends you (or the young person) a copy of the final EHC plan, or when it informs you (or the young person) that an EHC plan will not be issued. The local authority **must** finalise the EHC plan, where one is needed, within **14 weeks** of you being told that they are carrying out the transfer review or an EHC Needs Assessment.

For moves between school stages, there are particular deadlines for the issue of EHC plans. These are:

- 31 May 2015 if the child or young person will transfer from secondary school to a post 16 institution on 1 September 2015. In subsequent years, the deadline will be 31 March in the calendar year that the child or young person transfers from secondary school to post 16 institution
- 15 February in the calendar year of the child's transfer in any other case.

Once the transfer process starts, your rights to appeal to the Special Educational Needs and Disability Tribunal under the Children and Families Act 2014 replace those you had under the Education Act 1996.

What does an EHC plan look like?

Every local authority can decide how to set out the EHC plan, but it must include 12 specific sections. You can look at the sample EHC plan to be used in RBWM at: https://search3.openobjects.com/mediamanager/rbwm/fsd/files/rbwm_ehc_plan_template_final_version_doc_21-10-2014.pdf

Key differences compared to Statements should include:

- a greater focus on “outcomes” – what the child or young person is aiming to achieve with the support set out in the EHC plan
- more emphasis on the involvement of parents, children and young people
- improved integration of health and social care provision
- continuation, where appropriate, to age 25 (where a young person remains in education or training)
- more rights for young people (a young person is aged 16-25 and has completed their compulsory schooling)

How does this affect those with a Learning Difficulty Assessment?

Local authorities must continue to have regard to the statutory Learning Difficulty Assessment guidance until 1 September 2016. If a young person with an LDA requests it, the local authority must transfer them to an EHC plan by 1 September 2016 if they are staying in further education or training after that date.

What if I do not agree with the local authority?

The first step is to contact the local authority to discuss your concerns.

The Information, Advice and Support (IAS) Service for Windsor and Maidenhead offers impartial advice and support and can explain your options, including your rights to request reassessment and to appeal.

Where can I get more information, advice or support?

You will find the Department for Education guidance on the transition arrangements at:

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/414952/SEND_Reforms_transitional_advice_March15.pdf

Every authority has a **transition plan** that sets out the local arrangements. You can see it at

[https://search3.openobjects.com/mediamanager/rbwm/fsd/files/local_transfer_plan_for_transfer_of_statements_and_idas_to_ehc_plans_version_1_published_september_2014 .pdf](https://search3.openobjects.com/mediamanager/rbwm/fsd/files/local_transfer_plan_for_transfer_of_statements_and_idas_to_ehc_plans_version_1_published_september_2014.pdf).

The Information, Advice and Support (IAS) Service for Windsor and Maidenhead can also give you:

- information about the local transition arrangements, and advice on how they might affect you or your child
- information and advice about transfer reviews
- advice and support during the transition process and in transfer reviews
- details of other organisations, support groups and information services that could help
- information, advice and support about your rights to make a complaint, seek independent **disagreement resolution** or **mediation** and appeal to the **SEND Tribunal**.



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